

PATENT  
Attorney Reference Number 6565-66243/RJP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Ye Liu, et al.

**Application No.** 10/601,262

**Filed:** June 20, 2003

**Confirmation No.** 6713

**For:** POLYMERS FOR THE DELIVERY OF  
BIOACTIVE AGENTS AND METHODS  
OF THEIR PREPARATION

**Examiner:** N/A

**Art Unit:** 2872

**Attorney Reference No.** 6565-66243/RJP

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney  
for Applicant(s)

Date Mailed December 9, 2003

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**TRANSMITTAL LETTER**

Enclosed for filing in the application referenced above are the following:

- Supplemental Information Disclosure Statement
- Form 1449 and reference(s) cited thereon
- The Director is hereby authorized to charge any fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

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By

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cc: Client; Docketing



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re the Application of: ) Group Art Unit: 2872  
Ye Liu, Shu Wang and Chaobin He )  
Serial No.: 10/601,262 ) Examiner: Not Assigned Yet  
Filed: June 20, 2003 ) Our Ref. No.: 6565-66243/RJP  
For: POLYMERS FOR THE DELIVERY )  
OF BIOACTIVE AGENTS AND METHODS )  
OF THEIR PREPARATION )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 CFR 1.56, Applicants hereby make of record the references set out on the attached form PTO-1449.

A copy of each reference is enclosed.

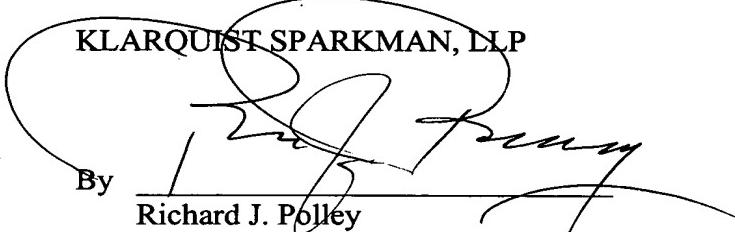
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

- 2 -

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is being filed before the receipt of a first substantive Office action. As a result, no fee is required. However, if the Patent Office determines that a fee is required, please charge any such fees to Deposit Account No. 02-4550. A copy of this Supplemental Information Disclosure Statement is enclosed.

Respectfully submitted,

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<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>		Docket Number (Optional) 6565-66243/RJP	Application Number 10/601,262
		Applicant Ye Liu et al.	
		Filing Date June 20, 2003	Group Art Unit 2872

<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
						YES

<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>	
	Liu, Y., Wu, D., Ma, Y., Tang, G., Wang, S., He, C., Chung, T., Goh, S. "Novel poly(amino ester)s obtained from Michael addition polymerizations of trifunctional amine monomers with diacrylates: safe and efficient DNA carriers", <i>Chemical Communications</i> , 2003, 20, 2630.
<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.	